Receipt date: 11/07/2005

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Filing

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

SOPER, et al.

Docket No.:

GIV.P30054

International

PCT/CH2004/000270

International Date:

5 May 2004

Appln No.:

Priority Date:

9 May 2003

Serial No:

New U.S. National Phase Application

Examiner:

N/A

Submitted:

7 November 2005

Group Art Unit:

N/A

For:

Alginate Matrix Particles

MAIL STOP PCT **COMMISSIONER FOR PATENTS** P. O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT FILED CONTEMPORANEOUSLY WITH THE APPLICATION

(37 C.F.R. §1.97(b)(1))

Pursuant to 37 CFR §1.97 encouraging the filing of an Information Disclosure Statement, Applicants submit this paper in compliance with their duty of disclosure as set forth in 37 C.F.R. §1.56.

Applicants wish to introduce art having some relevance to the present application, which has been listed on attached Form PTO/SB/08A. The form includes two (2) U.S. patent documents and four (4) foreign patent documents that were provided as a result of the PCT International Search Report dated August 24, 2004, enclosed herewith.

Applicants do not admit that any of the information provided is necessarily prior to their invention, but rather it is information of which Applicants are aware and believe should be provided to the Office in fulfillment of the duty of disclosure. Any question that may arise regarding the priority of a specific document shall be resolved during prosecution.

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as Express Mail - Label No. EV698630469US - "Express Mail Post Office to Addressee" in an envelope with sufficient postage addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

K. Foster

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JC20 Rec'd PCT/PTO 07 NOV 2003

It is noted that the Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. Therefore, copies of cited U.S. patents are not being submitted with this Information Disclosure Statement.

The information provided is in the English language except for one French Patent document. An English language abstract is provided for the 1 non-English language foreign patent document.

The referenced art is being cited for the express purpose of providing the Patent and Trademark Office with the opportunity to make an evaluation and to arrive at an independent assessment of materiality of each document, if any, to the examination of this application. Applicants respectfully request that the information cited be made of record in the subject case.

IDENTIFICATION OF TIME OF FILING THE INFORMATION DISCLOSURE STATEMENT

The Information Disclosure Statement submitted herewith is being filed contemporaneously with the filing of the present application. No certification or fee is due with this filing of the Information Disclosure Statement. 37 C.F.R. §1.97(b)(1).

Respectfully submitted

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7 November 2005

Date

Receipt date: 11/07/2005

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PTO/SB/08a (08-03)
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Substitute for form 1449A/PTO				Application Number	New U.S. Wattonal Phase Application	
11	NFORMATION DISCL	os	URE	Filing Date	November 7, 2005 (submitted)	
STATEMENT BY APPLICANT				First Named Inventor	SOPER, Jon Christian	
_				Art Unit	N/A	
	(Use as many sheets as neces	sary)		Examiner Name	N/A	
Sheet_	1	of	1	Attorney Docket Number	GIV.P30054	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (il known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	i	US-3,567,650	03-02-1971	BAKAN				
		US-3,971,852	07-27-1976	BERGENSTEN et al				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		WO 93/19622 A	10-14-1993	TASTEMAKER		
		WO 99/17871 A	04-15-1999	GIVAUDAN ROURE INT		
		EP 0 455 598 A	11-06-1991	WARNER LAMBERT CO		
		FR 2 570 604 A	03-28-1986	PHARMEDIS SA		*
	ļ					
		* Abstract attached		M		

Examiner	/Jvoti Chawla/	Date	02/20/2009
Signature	70 9 011 011 111 101	Considered	0E/E0/E000

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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